

PHYS 681/682, Lecture Notes on Quantum Field Theory

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1 Non-Abelian Gauge Theory, Srednicki §24 & §69

1.1 Compact Lie Group SU(N)

Suppose field $\phi(x)$ has N components $\phi_i(x), i = 1, 2, \dots, N$, representing some internal degrees of freedom.

$$SU(N) = \{U \in GL(N, \mathbb{C}) : \det U = 1, U^\dagger U = 1\}$$

is the collection of $N \times N$ special unitary matrices (transformations). The transform reads,

$$\phi_i(x) \rightarrow \phi'_i(x) = U_{ij} \phi_j(x), \quad (1)$$

Each transformation U can be written in exponential form,

$$U = e^{-i\alpha^a \tau^a}, a = 1, 2, \dots, N^2 - 1 \quad (2)$$

where $\alpha^a \in \mathbb{N}$, τ^a are $N \times N$ traceless hermitian matrices, called generators of SU(N). Hermiticity comes from unitarity of U ; tracelessness is a result of $\det U = 1$ by the identity $\det e^A = e^{\text{tr}A}$. All $N \times N$ traceless hermitian matrices form a real vector space $\mathfrak{su}(N)$. The dimensionality of $\mathfrak{su}(N)$ is $N^2 - 1$. That's why we have $N^2 - 1$ generators. Any traceless hermitian matrix can be written as a superposition of τ^a 's.

$$M = \sum_a C_a \tau^a$$

The coefficients C_a are real numbers.

1.2 Lie Algebra $\mathfrak{su}(N)$

SU(N) group also defines a commutation relation for τ^a . Consider infinitesimal transformations, $U = 1 - i\epsilon\alpha^a \tau^a + \mathcal{O}(\epsilon^2)$, $V = 1 - i\epsilon\beta^a \tau^a + \mathcal{O}(\epsilon^2)$. $W = U^{-1}V^{-1}UV \in SU(N)$ due to the closeness of a group. It can be seen, $W = 1 - \epsilon^2 \alpha^a \beta^b [\tau^a, \tau^b] + \mathcal{O}(\epsilon^3)$. W is also an infinitesimal transformation, fully determined by group multiplication rules. Suppose $W = e^{-i\epsilon^2 F^c(\alpha^a, \beta^b) \tau^c}$. Clearly, $[\tau^a, \tau^b]$ is fully determined by function $F^c(\alpha^a, \beta^b)$.

It's easy to see, commutator $i[\tau^a, \tau^b]$ is hermitian and traceless: $\text{tr} i[\tau^a, \tau^b] = i\text{tr}(\tau^a \tau^b) - i\text{tr}(\tau^b \tau^a) = 0$. Therefore, we can rewrite it use τ^c 's again, $[\tau^a, \tau^b] = i f^{abc} \tau^c$, where summation over c is implicit. The coefficients f^{abc} are real and fully determined by function F^c , the group multiplication rule. f^{abc} are called structure constants. For non-abelian groups, f^{abc} do not all vanish.

A vector space with a commutator defined on it is called a Lie algebra (Mathematicians describe Lie algebra with fancier languages). Similary as we have constructed, each compact Lie group G is associated

with a Lie algebra, usually denoted as \mathfrak{g} . So $\mathfrak{su}(N)$ is the Lie algebra of $SU(N)$ group. τ^a are again called generators. Note that $\mathfrak{su}(N)$ is a real algebra, because it's a real vector space.

As a vector space, we also define inner product over $\mathfrak{su}(N)$:

$$(a, b) = \text{tr}ab, \forall a, b \in \mathfrak{su}(N)$$

With the help of inner product, we normalize the generators (the basis of the vector space),

$$\text{tr}\tau^a\tau^b = \frac{1}{2}\delta^{ab} \tag{3}$$

If we can defined positive norm for all elements, i.e. $\forall a, b \in \mathfrak{g}, (a, b) \geq 0$, then G is called a compact Lie group. $SU(N), SO(N)$ are compact groups. Other compact simple Lie groups include, $Sp(N), G(2), F(4), E(6), E(7), E(8)$. Quantum theory of gauge fields is based on compact groups. Standard model is based on $U(1) \times SU(2) \times SU(3)$. People generalize it to larger compact groups to make a grand unified theory (GUT). Georgi-Glashow model takes $SU(5)$. $SO(10)$ and $E(6)$ are another two popular models.

1.3 Gauge Symmetry

In $SU(N)$ symmetry, a transformation $U = e^{-i\alpha^a\tau^a}$, $\alpha^a \in \mathbb{R}$ does not depend on space-time. In $SU(N)$ gauge symmetry, this global transformation is enlarged to a local one $U(x) = e^{-i\alpha^a(x)\tau^a}$, where $\alpha^a(x) \in C^\infty(\mathbb{R})$ is some smooth real function. A gauge symmetry (gauge group) is hence also called a local symmetry (local group). Similarly $SU(N)$ symmetries are given the name global $SU(N)$ symmetries.

example of gauge theories

$U(1) \simeq SO(2)$	electromagnetism
$U(1) \times SU(2)$	electroweak interaction
$SU(3)$	quantum chromodynamics
$ISO(1,3)$	general relativity

where, $ISO(1,3)$ is the Poincaré group, Lorentz transformations plus translations.

Take GR as an example. Poincaré symmetry preserve the Minkowski space quadratic form,

$$\Lambda^\mu_\alpha \Lambda^\nu_\beta g^{\alpha\beta} = g^{\mu\nu}, \quad \text{where } g^{\mu\nu} = \text{diag}\{-1, +1, +1, +1\}$$

GR enlarges this symmetry to a local one,

$$\Lambda^\mu_\alpha(x)\Lambda^\nu_\beta(x)g^{\alpha\beta}(x) = g^{\mu\nu}(x),$$

where metric $g^{\mu\nu}(x)$ is a tensor field with signature $(-1, +1, +1, +1)$. The gauged group is simply the space-time coordinate transform, the diffeomorphism group of the Riemann space.

1.4 Gauge Invariants and Gauge Covariant Derivatives

Let $V(x)$ be an $SU(N)$ gauge transformation. Under the gauge transformation, scalar fields transform

$$\phi_i(x) \rightarrow \phi'_i(x) = V_{ij}(x)\phi_j(x).$$

So $\phi_i^\dagger(x)\phi_i(x) \rightarrow \phi_j^\dagger(x)V_{ji}^\dagger(x)V_{ik}(x)\phi_k(x) = \phi_i^\dagger(x)\phi_i(x)$ is gauge invariant. Similarly, spinor fields transform as

$$\psi_i(x) \rightarrow \psi'_i(x) = V_{ij}(x)\psi_j(x).$$

$\bar{\psi}_i(x)\psi_i(x) \rightarrow \psi_i^\dagger(x)V^\dagger(x)\beta V(x)\psi_i(x) = \bar{\psi}_i(x)\psi_i(x)$ is also a gauge invariant. However, the derivative term $\partial_\mu\phi_i(x)$ transforms as,

$$\partial_\mu\phi_i(x) \rightarrow \partial_\mu(V_{ij}(x)\phi_j(x)) = V_{ij}(x)\partial_\mu\phi_j(x) + \partial_\mu V_{ij}(x)\phi_j(x)$$

The quadratic derivative term,

$$\begin{aligned} & \partial_\mu\phi_i^\dagger(x)\partial^\mu\phi_i(x) \rightarrow \\ & \partial_\mu\phi_i^\dagger(x)\partial^\mu\phi_i(x) + \phi_j^\dagger(x)\partial_\mu U_{ji}^\dagger(x)V_{ik}(x)\partial^\mu\phi_k(x) + \partial_\mu\phi_j^\dagger(x)V_{ji}^\dagger(x)\partial^\mu V_{ik}(x)\phi_k(x) + \phi_j^\dagger(x)\partial_\mu V_{ji}^\dagger(x)\partial^\mu V_{ik}(x)\phi_k(x) \\ & = \partial_\mu\phi_i^\dagger(x)\partial^\mu\phi_i(x) + \phi_j^\dagger(x)\partial_\mu V_{ji}^\dagger(x)\partial^\mu V_{ik}(x)\phi_k(x) \end{aligned}$$

is not gauge invariant. We have used $\partial_\mu(V^\dagger(x)V(x)) = \partial_\mu 1 = 0 \implies \partial_\mu V^\dagger(x)V(x) + V^\dagger(x)\partial_\mu V(x) = 0$.

Covariant Derivatives in Curved Space (GR) Let $V^\mu(x)$ be a tangent vector field in a curved space (See Fig. 1). The derivative is defined as,

$$\xi^\alpha\partial_\alpha V^\mu(x) = \lim_{\epsilon \rightarrow 0} \frac{V^\mu(x + \epsilon\xi) - V^\mu(x)}{\epsilon} \quad (4)$$

Taking derivative is to compare vector fields at two infinitesimally close points. Since they are defined at different space-time points, direct comparison violates the principle of locality. A direct comparison of two separated vectors requires knowing their globally defined coordinates, which is not known. Another way to compare the two vectors is to parallelly transport vector $V^\mu(x)$ from x to $x + \epsilon\xi$ and then compare. Suppose the parallel transport is $T^\mu_\nu(y, x)$, we define the covariant derivative,

$$\xi^\alpha D_\alpha V^\mu(x) = \lim_{\epsilon \rightarrow 0} \frac{V^\mu(x + \epsilon\xi) - T^\mu_\nu(x + \epsilon\xi, x)V^\nu(x)}{\epsilon} \quad (5)$$

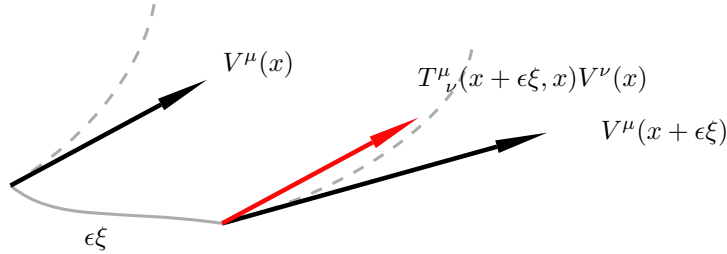


Figure 1

Covariant Derivative in Gauge Theories In gauge theories, the covariant derivative is also defined as,

$$\xi^\alpha D_\alpha\phi_i(x) = \lim_{\epsilon \rightarrow 0} \frac{\phi_i(x + \epsilon\xi) - U_{ij}(x + \epsilon\xi, x)\phi_j(x)}{\epsilon} \quad (6)$$

where $U_{ij}(y, x)$ is a parallel transport (also called comparator, gauge link) in the internal space. The parallel transport has the following properties,

- It's path dependent: $U(y, x) = U_\gamma(y, x) \equiv U[\gamma]$, where $\gamma(s)$ is a curve, $\gamma(0) = x$, $\gamma(1) = y$;
- $U_\gamma(x, x) = 1$;
- Suppose $\gamma(0) = \sigma(1)$, $U_\gamma(z, y)U_\sigma(y, x) = U_{\gamma\circ\sigma}(z, x)$;
- $U(y, x)$ should be unitary; In non-abelian gauge theory, we further require $\det U = 1$;

- $U(y, x)$ transforms as $U(y, x) \rightarrow V(y)U(y, x)V^\dagger(x)$;

In this way, the covariant derivative transforms covariantly:

$$\begin{aligned}\xi^\mu D_\mu \phi(x) &\rightarrow \lim_{\epsilon \rightarrow 0} \frac{V(x + \epsilon\xi)\phi(x + \epsilon\xi) - V(x + \epsilon\xi)U(x + \epsilon\xi, x)V^\dagger(x)V(x)\phi(x)}{\epsilon} \\ &= \lim_{\epsilon \rightarrow 0} V(x + \epsilon\xi) \frac{\phi(x + \epsilon\xi) - U(x + \epsilon\xi, x)\phi(x)}{\epsilon} \\ &= V(x)\xi^\mu D_\mu \phi(x)\end{aligned}$$

We define the infinitesimal parallel transport,

$$U(x + \epsilon\xi, x) \equiv 1 + ig\epsilon\xi^\mu A_\mu(x) + \mathcal{O}(\epsilon^2). \quad (7)$$

$A_\mu(x)$ is called the gauge field. It's a $N \times N$ traceless hermitian matrix following the unitarity and determinant 1 of $U(y, x)$. The transformation property of $U(y, x)$ requires $A_\mu(x)$ to transform,

$$\begin{aligned}1 + ig\epsilon\xi^\mu A_\mu(x) + \mathcal{O}(\epsilon^2) &\rightarrow V(x + \epsilon\xi)(1 + ig\epsilon\xi^\mu A_\mu(x))V^\dagger(x) \\ &= (V(x) + \epsilon\xi^\mu \partial_\mu V(x))(1 + ig\epsilon\xi^\mu A_\mu(x))V^\dagger(x) + \mathcal{O}(\epsilon^2) \\ &= 1 + \epsilon\xi^\mu \partial_\mu V(x)V^\dagger(x) + ig\epsilon\xi^\mu V(x)A_\mu(x)V^\dagger(x) + \mathcal{O}(\epsilon^2) \\ &= 1 + ig\epsilon\xi^\mu V(x)(A_\mu(x) + ig^{-1}\partial_\mu)V^\dagger(x) + \mathcal{O}(\epsilon^2)\end{aligned}$$

where we have used $\partial_\mu(V(x)V^\dagger(x)) = \partial_\mu 1 = 0 \implies \partial_\mu V(x)V^\dagger = -V(x)\partial_\mu V^\dagger(x)$. Therefore, $A_\mu(x)$ must transform as,

$$\begin{aligned}A_\mu(x) \rightarrow A'_\mu(x) &= V(x)A_\mu(x)V^\dagger(x) + ig^{-1}V(x)\partial_\mu V^\dagger(x) \\ &= V(x)A_\mu(x)V^\dagger(x) - \partial_\mu \alpha^a(x)V(x)\tau^a V^\dagger(x) \\ &= (A_\mu^a(x) - \partial_\mu \alpha^a(x))V(x)\tau^a V^\dagger(x)\end{aligned} \quad (8)$$

where $V(x) = e^{-ig\alpha^a(x)\tau^a}$. If $V(x)$ is an infinitesimal transformation,

$$A_\mu^c(x) \rightarrow A_\mu'^c(x) = A_\mu^c(x) - \partial_\mu \alpha^c(x) - gf^{abc}A_\mu^a(x)\alpha^b(x) + \mathcal{O}(\alpha^2) \quad (9)$$

We have used Baker-Campbell-Hausdorff formula $\exp(X)Y\exp(-X) = Y + [X, Y] + \frac{1}{2}[X, [X, Y]] + \dots$.

In abelian gauge theories $f^{abc} = 0$,

$$A_\mu(x) \rightarrow A'_\mu(x) = A_\mu(x) - \partial_\mu \alpha(x) \quad (10)$$

But this is true even for finite transformations, because gauge transformations and gauge field are c-number functions, and can commute freely in Eq. 8.

Therefore, the covariant derivative is,

$$D_\mu = \partial_\mu - igA_\mu(x). \quad (11)$$

Now $D_\mu \phi(x) \rightarrow V(x)D_\mu \phi(x) = V(x)D_\mu (V^\dagger(x)V(x)\phi(x))$. Since $\phi(x) \rightarrow V(x)\phi(x)$, we denote $D_\mu \rightarrow V(x)D_\mu V^\dagger(x)$ as the transform of D_μ . Note that D_μ contains derivative, so it still eats everything on its right.

Apparently, $(D_\mu \phi(x))^\dagger D^\mu \phi(x)$ and $\bar{\psi}(x)D_\mu \psi(x)$ are gauge invariants.

Field Tensor Consider $[D^\mu, D^\nu] \phi(x) \equiv D^\mu(D^\nu \phi(x)) - D^\nu(D^\mu \phi(x))$.

$$\begin{aligned}[D^\mu, D^\nu] \phi(x) &= [\partial^\mu, \partial^\nu] \phi(x) - ig[\partial^\mu, A^\nu] \phi(x) - ig[A^\mu, \partial^\nu] \phi(x) - g^2[A^\mu, A^\nu] \phi(x) \\ &= -ig(\partial^\mu A^\nu - \partial^\nu A^\mu - ig[A^\mu, A^\nu]) \phi(x)\end{aligned}$$

As we can see, although D^μ is a differential operator, $[D^\mu, D^\nu]$ is only a regular function. We denote $F^{\mu\nu} \equiv \frac{i}{g}[D^\mu, D^\nu] = \partial^\mu A^\nu - \partial^\nu A^\mu - ig[A^\mu, A^\nu]$. It is also $N \times N$ hermitian traceless matrix, and can be written as, $F^{\mu\nu} \equiv F^{\mu\nu a} \tau^a$. So $F^{\mu\nu a} = \partial^\mu A^{\nu a} - \partial^\nu A^{\mu a} + gf^{abc}A^{\mu b}A^{\nu c}$.

It transforms as $F^{\mu\nu} \rightarrow V(x)D^\mu V^\dagger(x)V(x)D^\nu V^\dagger(x) - V(x)D^\nu V^\dagger(x)V(x)D^\mu V^\dagger(x) = V(x)F^{\mu\nu}V^\dagger(x)$. We can construct a gauge invariant from it, $\text{tr}F^2 \equiv \text{tr}F^{\mu\nu}F_{\mu\nu}$.

Finite Parallel Transport and Wilson Loops Let $\gamma(s), s \in [0, 1]$ be some path. If a field $\phi(x)$ is parallelly transported along γ , $\phi(\gamma(s)) = U(\gamma(s), \gamma(0))\phi(\gamma(0)), \forall s \in [0, 1]$.

$$\begin{aligned} \frac{d\gamma^\mu}{ds} D_\mu \phi(\gamma(s)) &= \frac{\phi(\gamma(s+ds)) - U(\gamma(s+ds), \gamma(s))\phi(\gamma(s))}{ds} \\ &= \frac{U(\gamma(s+ds), \gamma(0)) - U(\gamma(s+ds), \gamma(s))U(\gamma(s), \gamma(0))}{ds} \phi(\gamma(0)) \\ &= 0 \end{aligned} \quad (12)$$

Here $\gamma(s) = x(s)$ and $\frac{d\gamma^\mu}{ds} = \frac{dx^\mu(s)}{ds}$ is the tangential vector along the path γ . so $\frac{d\gamma^\mu}{ds} \partial_\mu = \frac{dx^\mu}{ds} \frac{\partial}{\partial x^\mu} = \frac{d}{ds}$.

So we have defined an initial value problem:

$$\begin{aligned} \frac{d}{ds} \phi(x(s)) &= -ig \frac{dx^\mu}{ds} A_\mu(x(s)) \phi(x(s)); \\ \phi(x(0)) &= \phi(\gamma(0)). \end{aligned} \quad (13)$$

Recall initial value problem of Schrödinger's equation,

$$\begin{aligned} \frac{d}{dt} |\psi(t)\rangle &= -iH |\psi(t)\rangle; \\ |\psi(0)\rangle &= |\psi_0\rangle. \end{aligned}$$

The solution is $|\psi(t)\rangle = \mathcal{T} \exp \left\{ -i \int_0^t d\tau H(\tau) \right\} |\psi_0\rangle$. Similarly, The solution of Eq. 13 is

$$\phi(\gamma(s)) = \mathcal{P} \exp \left\{ -ig \int_\gamma ds \frac{dx^\mu}{ds} A_\mu(x(s)) \right\} \phi(\gamma(0))$$

where \mathcal{P} is path ordering operator. Apparently,

$$U[\gamma] = \mathcal{P} \exp \left\{ -ig \int_\gamma ds \frac{dx^\mu}{ds} A_\mu(x(s)) \right\} \equiv \mathcal{P} \exp \left\{ -ig \int_\gamma dx^\mu A_\mu(x) \right\} \quad (14)$$

If γ is a loop, namely $\gamma(0) = \gamma(1)$, $W_\gamma(x) \equiv \text{tr} U_\gamma(x, x) = \mathcal{P} \exp \left\{ -ig \oint_\gamma dx^\mu A_\mu(x) \right\}$ is gauge invariant, called Wilson loop. In GR, parallel transportation along a closed loop yields the curvature tensor $R^\mu_{\nu\lambda\rho}$. An analog in non-abelian gauge theory is the field tensor. In abelian theory, using Stokes Theorem, Wilson loop becomes,

$$W_\gamma(x) = \exp \left\{ -ig \int_S dx^\mu \wedge dx^\nu (\partial_\mu A_\nu - \partial_\nu A_\mu) \right\} = \exp \left\{ -ig \int_S dx^\mu \wedge dx^\nu F_{\mu\nu} \right\}$$

$\partial S = \gamma$.

In non-abelian case, Stokes theorem has to be generalized (See [1] for example.). One can avoid heavy mathematics by calculating an infinitesimal loop enclosed by $[x, x + dx^\mu, x + dx^\mu + dy^\nu, x + dy^\nu]$.

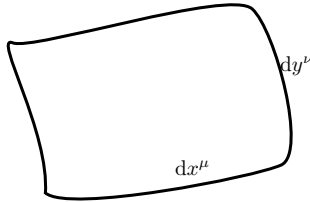


Figure 2

$$\begin{aligned} U(x + dx, x) &= 1 - ig dx^\mu A_\mu(x + \frac{1}{2}dx) - \frac{1}{2}g^2 dx^\mu dx^\nu A_\mu(x) A_\nu(x) + \mathcal{O}(d^3); \\ U(x + dx + dy, x + dx) &= 1 - ig dy^\nu A_\nu(x + dx + \frac{1}{2}dy) - \frac{1}{2}g^2 dy^\nu dy^\mu A_\nu(x + dx) A_\mu(x + dx) + \mathcal{O}(d^3); \end{aligned}$$

$$U(x+dy, x+dx+dy) = 1 + igdx^\mu A_\mu(x + \frac{1}{2}dx + dy) - \frac{1}{2}g^2 dx^\mu dx^\nu A_\mu(x+dx+dy)A_\nu(x+dx+dy) + \mathcal{O}(d^3);$$

$$U(x, x+dy) = 1 + igdy^\mu A_\mu(x+dy) - \frac{1}{2}g^2 dy^\mu dy^\nu A_\mu(x + \frac{1}{2}dy)A_\nu(x+dy) + \mathcal{O}(d^3).$$

$$U_\gamma(x) = U(x, x+dy)U(x+dy, x+dx+dy)U(x+dx+dy, x+dx)U(x+dx, x),$$

$$= 1 - igdx^\mu dy^\nu F_{\mu\nu} + \mathcal{O}(d^3)$$

Covariance of field tensor follows from the covariance of $U_\gamma(x)$. That is to say, gauge link is more fundamental than field tensor.

θ term Define the dual field tensor $\tilde{F}^{\mu\nu} \equiv \frac{1}{2}\epsilon^{\mu\nu\rho\lambda}F_{\rho\lambda}$, is gauge covariant. We can construct two quadratic gauge invariants: $\text{tr}\tilde{F}^{\mu\nu}\tilde{F}_{\mu\nu}$ and $\text{tr}\tilde{F}^{\mu\nu}F_{\mu\nu}$. But $\text{tr}\tilde{F}^{\mu\nu}\tilde{F}_{\mu\nu} = \text{tr}F^{\mu\nu}F_{\mu\nu}$:

$$\tilde{F}^{\mu\nu}\tilde{F}_{\mu\nu} = \frac{1}{4}\epsilon^{\mu\nu\rho\lambda}\epsilon_{\mu\nu\sigma\kappa}F_{\rho\lambda}F^{\sigma\kappa} = \frac{1}{2}(\delta_\sigma^\rho\delta_\kappa^\lambda - \delta_\kappa^\rho\delta_\sigma^\lambda)F_{\rho\lambda}F^{\sigma\kappa} = F^{\sigma\kappa}F_{\sigma\kappa}$$

In abelian gauge theory (electromagnetism), $F^{\mu\nu}\tilde{F}_{\mu\nu} = -4E \cdot B$ is a total divergence term,

$$F^{\mu\nu}\tilde{F}_{\mu\nu} = \partial_\mu(\epsilon^{\mu\rho\kappa\lambda}F_{\rho\kappa}A_\lambda)$$

In non-abelian gauge theories, $F^{\mu\nu}\tilde{F}_{\mu\nu}$ is also a total divergence term, but it has a topological effect.

Yang-Mills Theory Yang-Mills theory admits a Lagrangian,

$$\mathcal{L}_{\text{YM}} = -\frac{1}{2}\text{tr}F^{\mu\nu}F_{\mu\nu} + \bar{\psi}(i\not{D} - m)\psi \quad (15)$$

where $D_\mu = \partial_\mu - igA_\mu$. In terms of color components,

$$\mathcal{L}_{\text{YM}} = -\frac{1}{4}F^{\mu\nu a}F_{\mu\nu}^a + \bar{\psi}_i(i\not{D}_{ij} - m\delta_{ij})\psi_j \quad (16)$$

where $\not{D}_{ij} = \gamma^\mu\partial_\mu\delta_{ij} - ig\gamma^\mu A_\mu^a\tau_{ij}^a$.

References

- [1] N. E. Bralić, Phys. Rev. D 22 (1980) 3090